

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gondi, et al.

Serial No. TBA

Filing Date: Herewith

Confirmation No.: TBA

Examiner: TBA

HP Docket No. 200301267-2

TKHR Docket No. 050834-1021

Group Art Unit: TBA

For: **METHOD AND APPARATUS FOR HANDLING FAILURES OF RESOURCE MANAGERS IN A CLUSTERED ENVIRONMENT**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. Listed on the accompanying form PTO-1449 are documents which may or may not be considered material to the examination of this application. These documents were made of record in a prior application filed March 11, 1999 and having serial no. 09/267,032, to which priority is claimed relative to the present application pursuant to 35 U.S.C. §120. Copies of these documents are not being provided pursuant to 37 C.F.R. § 1.98(d)(1) and (2), which provides:

(d) A copy of any patent, publication, pending U.S. application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending U.S. application or other information was previously submitted to, or cited by, the Office in an earlier application, unless:

(1) The application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. §120; and

(2) The information disclosure statement submitted in the earlier application complies with a paragraphs (a) through (c) of this section.


The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By: 
Raymond W. Armentrout
Reg. No. 45,866

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200301267-2

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GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,228,496	10/14/80	Katzman et al.	
	1B	4,817,091	03/28/89	Katzman et al.	
	1C	5,504,900	04/02/96	Raz	
	1D	5,680,610	10/21/97	Smith et al.	
	1E	5,751,932	05/12/98	Horst et al.	
	1F	5,835,766	11/10/98	Iba et al.	
	1G	5,920,863	07/06/99	McKeehan et al.	
	1H	5,923,833	07/13/99	Freaund et al.	
	1I	5,926,463	07/20/99	Ahearn et al.	
	1J	6,101,527	08/08/00	Lejeune et al.	
	1K	6,105,147	8/2000	Molloy	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,115,711	09/05/00	White	
	1B	6,173,313B1	01/09/01	Klots et al.	
	1C	6,205,464B1	03/20/01	Cobb et al.	
	1D	6,209,038B1	03/27/01	Bowen et al.	
	1E	6,266,698	7/2001	Klein et al.	
	1F	6,286,110	9/2001	Klein et al.	
	1G	6,338,146	1/2002	Johnson et al.	
	1H	6,295,548	9/2001	Klein et al.	
	1I	6,411,981	6/2002	Klein et al.	
	1J				
	1K				

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